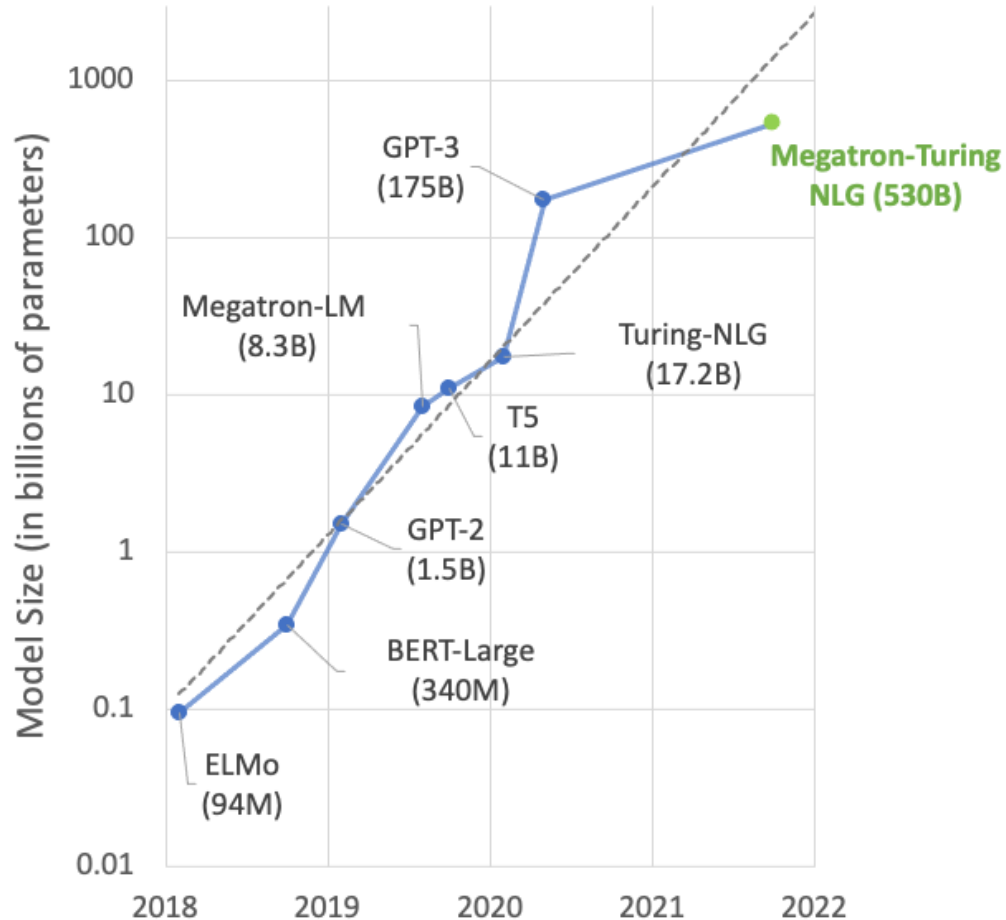




Multimodal Learning in the Era of Gigantic Pretrained Models

Boyang “Albert” Li
Nanyang Associate Professor
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Era of Large Language Models (LLMs)



Model Name	Year	# Parameters
T0	2021	11B
LaMDA	2021	137B
InstructGPT	2022	175B
GPT-NeoX	2022	20B
OPT	2022	175B
PaLM	2022	540B
GLM-130B	2022	130B
BLOOM	2022	176B
Galactica	2022	120B
ChatGPT	2022	1760B



Open LLM Leaderboard

As of June 25, 2023

Model	▲ Average 📈	▲ ARC (25-s) 📈	▲ HellaSwag (10-s) 📈	▲ MMLU (5-s) 📈	▲ TruthfulQA (MC) (0-s)
tiiuae/falcon-40b-instruct	63.2	61.6	84.4	54.1	52.5
timdettmers/guanaco-65b-merged	62.2	60.2	84.6	52.7	51.3
CalderaAI/30B-Lazarus	60.7	57.6	81.7	45.2	58.3
tiiuae/falcon-40b	60.4	61.9	85.3	52.7	41.7
timdettmers/guanaco-33b-merged	60	58.2	83.5	48.5	50
ausboss/llama-30b-supercot	59.8	58.5	82.9	44.3	53.6
huggyllama/llama-65b	58.3	57.8	84.2	48.8	42.3
pinkmanlove/llama-65b-hf	58.3	57.8	84.2	48.8	42.3
llama-65b	58.3	57.8	84.2	48.8	42.3
MetaIX/GPT4-X-Alpasta-30b	57.9	56.7	81.4	43.6	49.7
Aeala/VicUnlocked-alpaca-30b	57.6	55	80.8	44	50.4
digitous/Alpacino30b	57.4	57.1	82.6	46.1	43.8



Broad Competence

Acing human exams

**“Unparalleled mastery of
natural language”**

Sparks of AGI

Severe Hallucination

Can't do simple math

**Yann LeCun:
Nobody will be interested
in LLMs in 5 years**

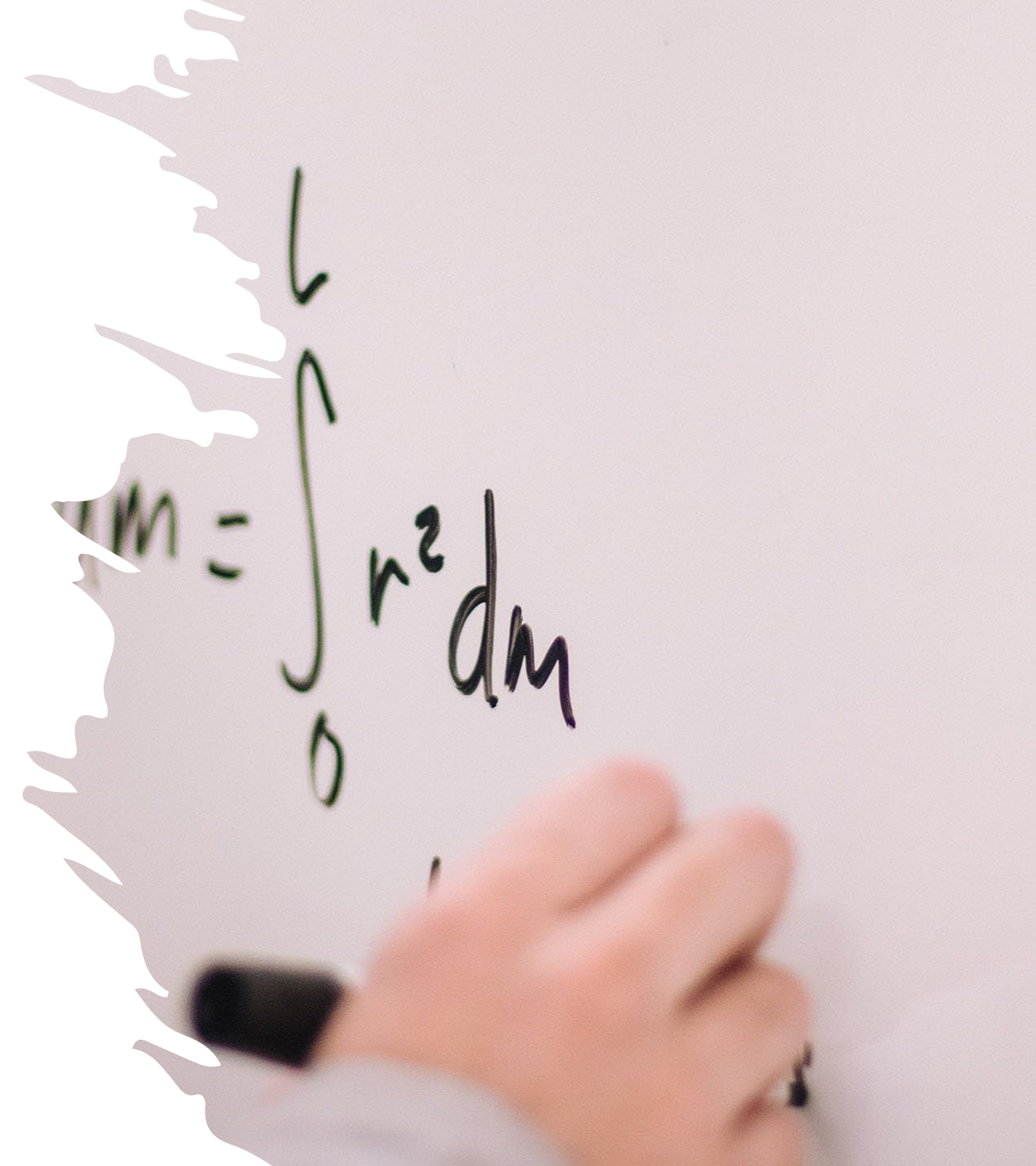
How do we think about LLMs?

- A different type of general intelligence from humans
 - Therefore, hard to understand
 - Implicit anthropomorphic thinking is a common pitfall
- A lot of memorization and pattern matching
 - Huge input/output bandwidth
 - Sufficient to compensate for the lack of reasoning
 - No sense of humor (Jentzsch and Kersting, 2023)
 - Solving compositional problems using memorization (Dziri et al. 2023)

Sophie Jentzsch and Kristian Kersting. ChatGPT is fun, but it is not funny! Humor is still challenging Large Language Models. arXiv 2306.04563. 2023

Dziri et al. Faith and Fate: Limits of Transformers on Compositionality. 2023

**Intermittent
Performance and
Prompt Brittleness
are Consistent with
Memory-based
Generalization**

$$M = \int_0^L r^2 dm$$
A hand is visible at the bottom right, holding a black pen and writing the equation on a whiteboard. The equation is $M = \int_0^L r^2 dm$. The letter 'L' is written above the integral sign, and '0' is written below it. The variable 'm' is written as the differential element.

A Gigantic Treasure Box in Need of Keys



Keys to Unlock LLM Capabilities

- Chain-of-thought Prompting (Wei et al. 2022)
- Let's think step by step (Kojima et al. 2022)
- Instruction Tuning (FLAN by Wei et al. 2021; T0 by Sanh et al. 2021; InstructGPT by Ouyang et al. 2022)
- And so on...

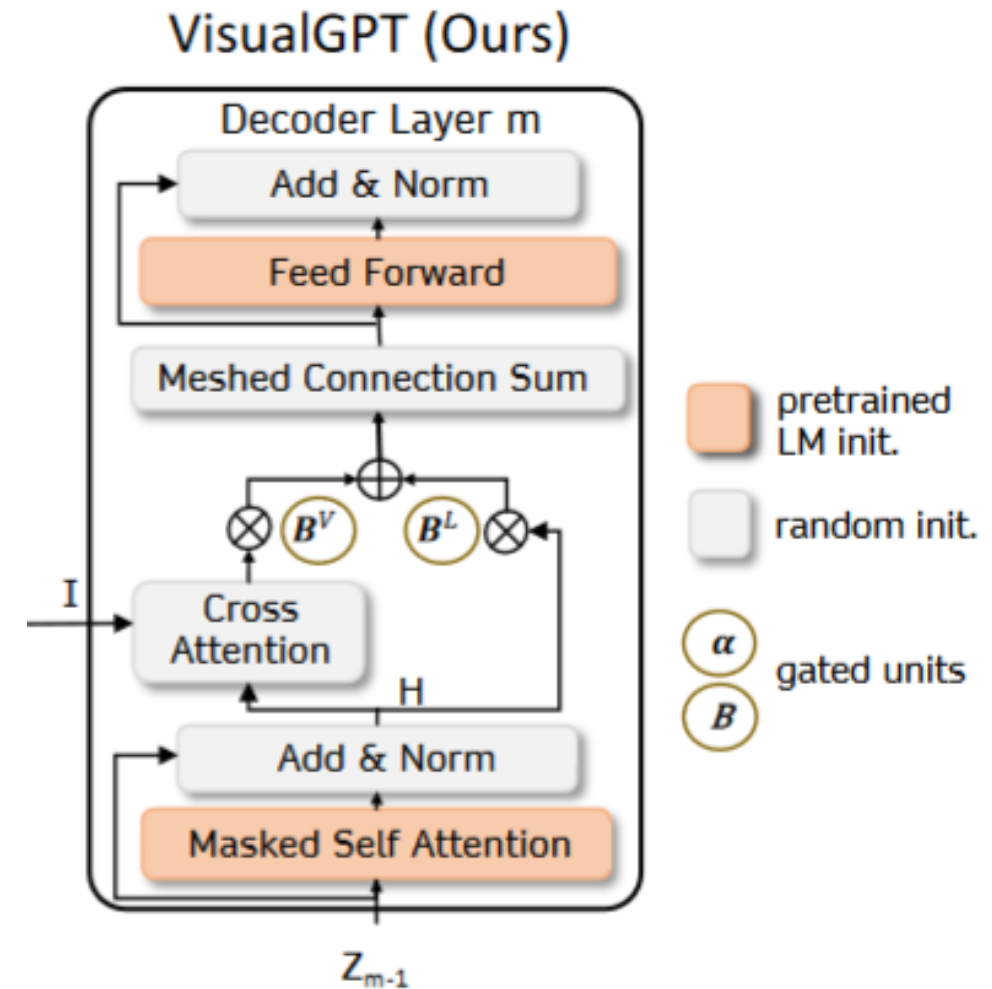
- But the content of the treasure box is not easily simulated (Gudibande et al. 2023)

Leveraging LLMs for Multimodal Purposes

VisualGPT (2021)

Jun Chen, Han Guo, Kai Yi, **Boyang Li**, and Mohamed Elhoseiny. VisualGPT: Data-efficient Adaptation of Pretrained Language Models for Image Captioning. arXiv 2102.10407. 2021.

- One of the early works for adapting pretrained LLMs for multimodal tasks



InstructBLIP (2023)

Wenliang Dai, Junnan Li, Dongxu Li, Anthony M. H. Tiong, Junqi Zhao, Weisheng Wang, **Boyang Li**, Pascale Fung, and Steven Hoi. InstructBLIP: Towards General-purpose Vision-Language Models with Instruction Tuning. arXiv 2305.06500

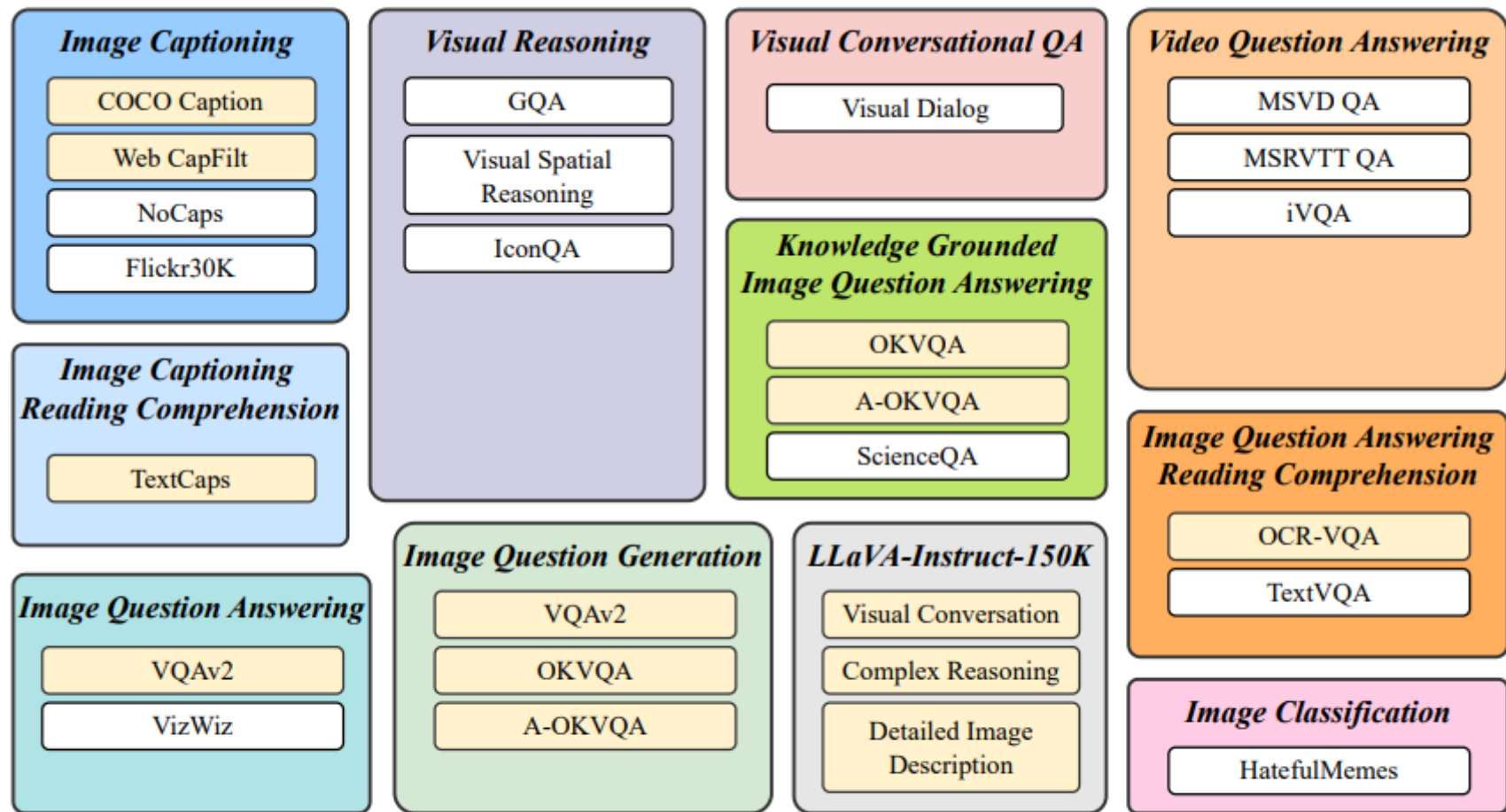


Figure 2: Tasks and their corresponding datasets used for vision-language instruction tuning. The held-in datasets are indicated by yellow and the held-out datasets by white.

InstructBLIP (2023)

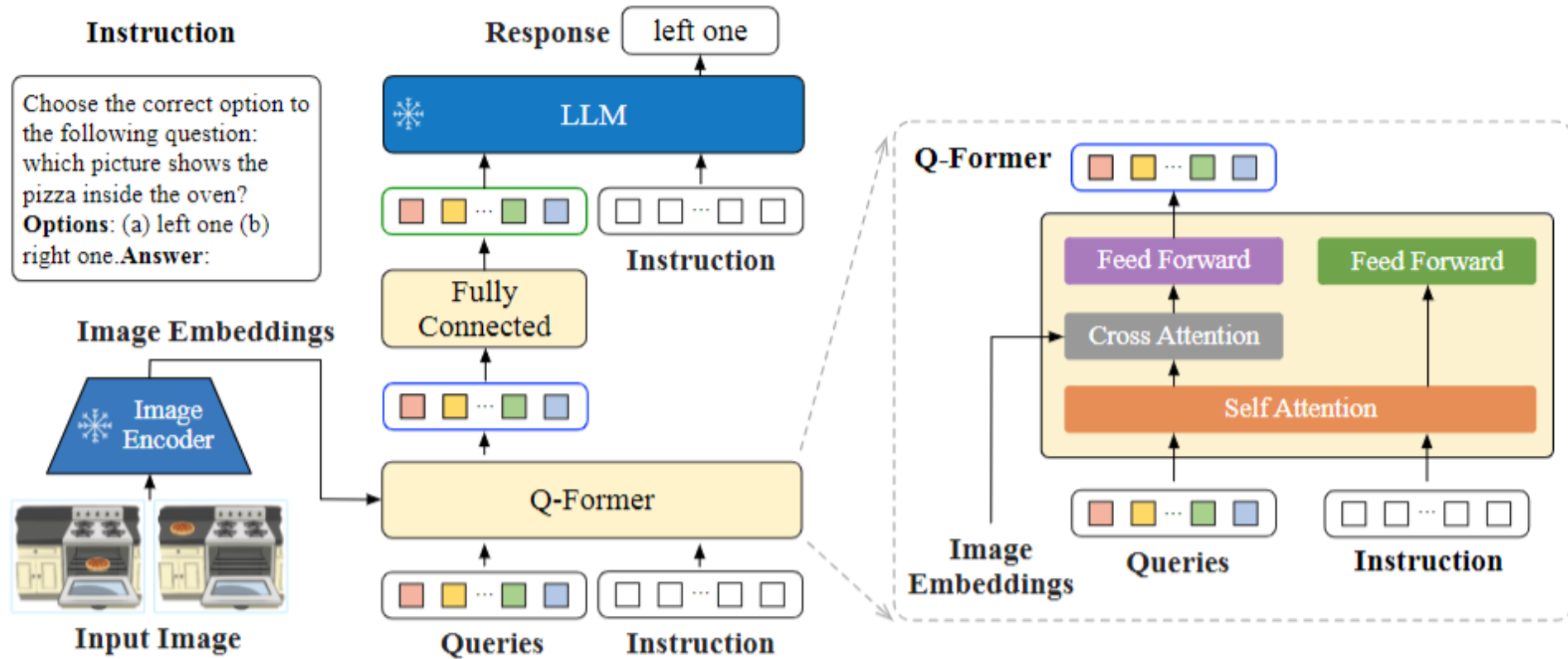
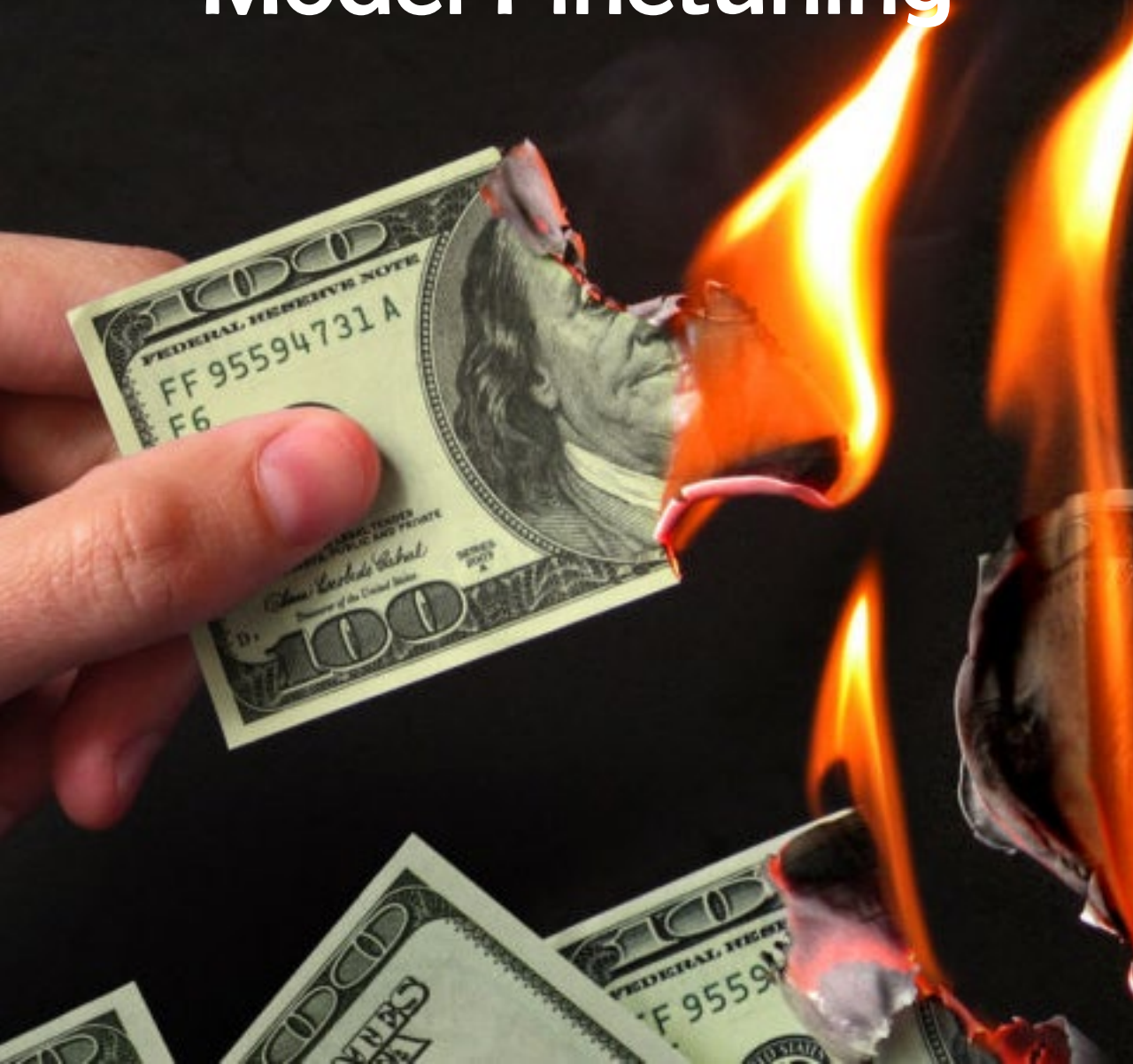


Figure 3: Model architecture of InstructBLIP. The Q-Former extracts instruction-aware visual features from the output embeddings of the frozen image encoder, and feeds the visual features as soft prompt input to the frozen LLM. We instruction-tune the model with the language modeling loss to generate the response.

Model Finetuning



Model Deployment



How to acquire new multimodal capabilities without finetuning?

We demonstrate a system for visual question answering.

Visual Question Answering

- Object Detection and Attribute Identification
- Action Recognition
- Spatial Understanding
- Commonsense Reasoning

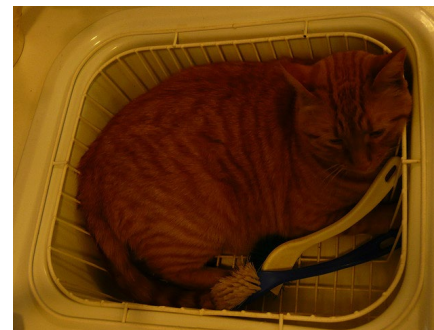
What animal is in the window? [Bird](#)



What is hanging above the toilet? [Teddy Bear](#)



Is the animal sleeping? [No](#)



Why are the men jumping? [to catch frisbee](#)



Plug-and-Play VQA

Anthony Meng Huat Tiong, Junnan Li, Boyang Li, Silvio Savarese, and Steven C.H. Hoi. Plug-and-Play VQA: Zero-shot VQA by Conjoining Large Pretrained Models with Zero Training. EMNLP Findings. 2022.

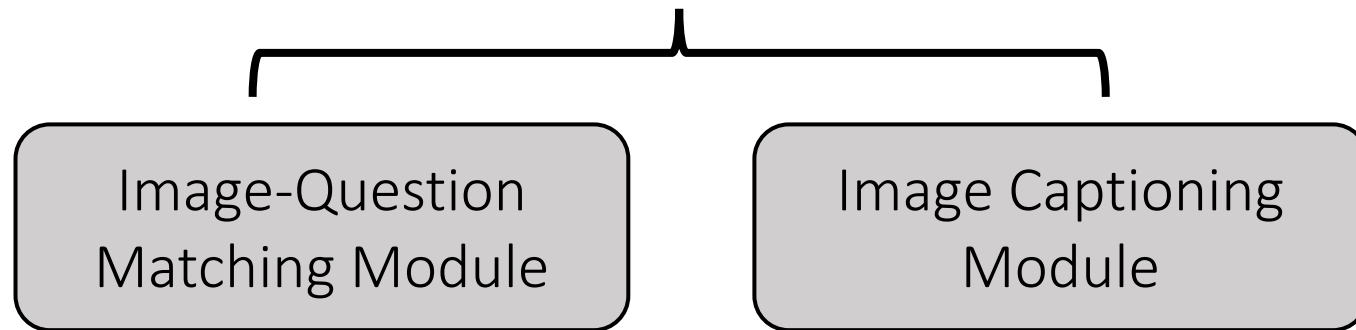


- Conventional wisdom suggests that in order to connect pretrained models, end-to-end training is necessary.
- We connect pretrained models using language and saliency maps as the intermediate representation.
- NO training is required.
- We outperform Deepmind's Flamingo on zero-shot VQAv2 with fewer parameters

Pretrained Modules



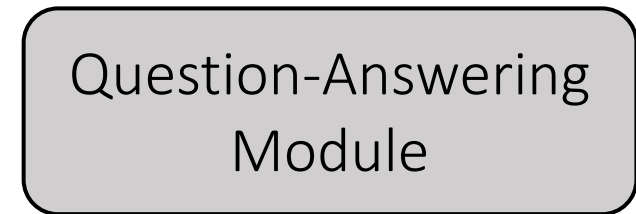
BLIP (Li et al, 2022)



Pretrained to classify an image-caption pair as Matching or Not Matching.

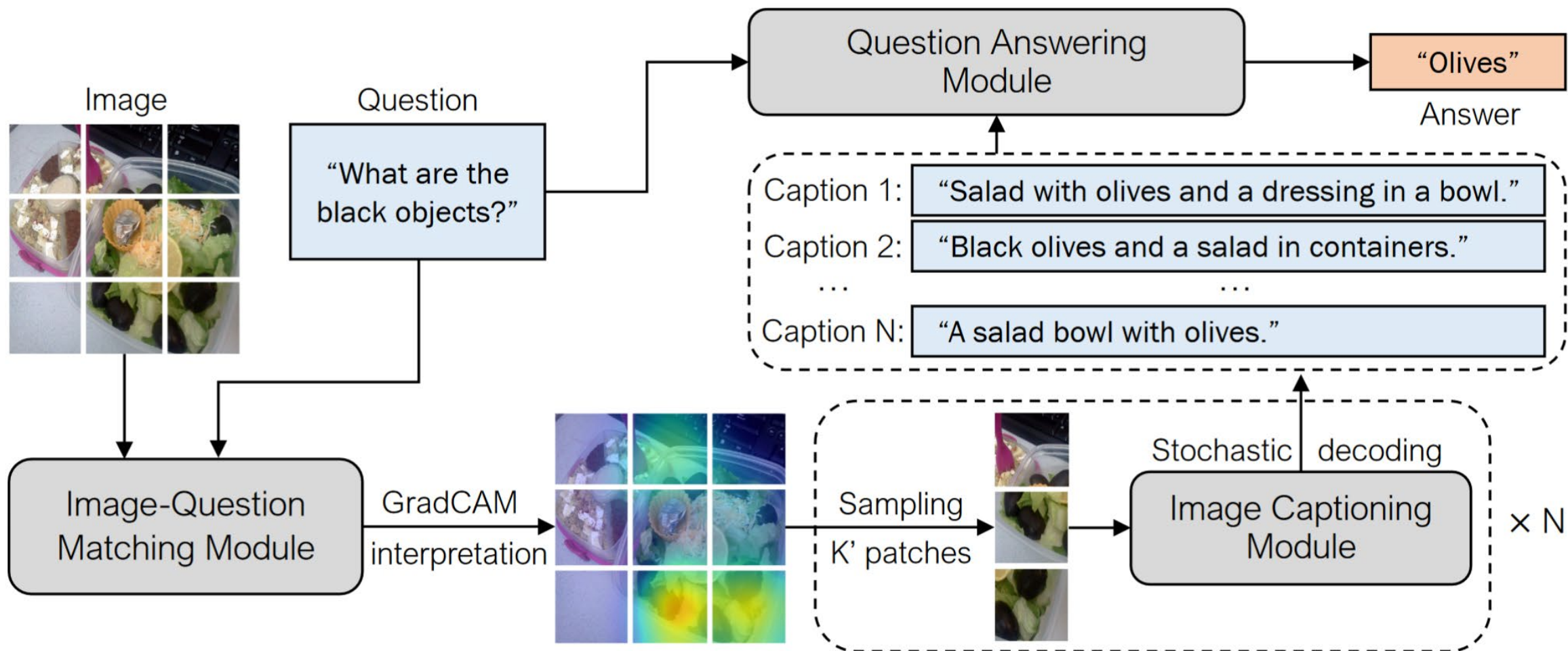
Pretrained to write a caption for an image, which consists of 14x14 image patches.

UnifiedQA v2
(Khashabi et al. 2022)



Pretrained to perform textual question answering.

System Architecture



Case Studies



Paper

Q: what utensil is this?

A: fork



Generic captions:

1. a spoon and fork are sitting on a white plate on a wooden table
2. a round cake with cream on it on a plate

Prediction: a spoon

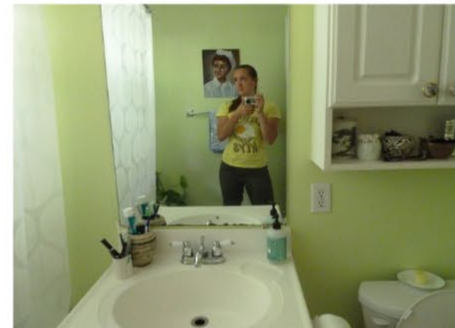


Question-guided captions:

1. a fork, silverware, fork and a spoon are shown
2. utensil on the plate which seems to have a fork and the fork

Prediction: fork

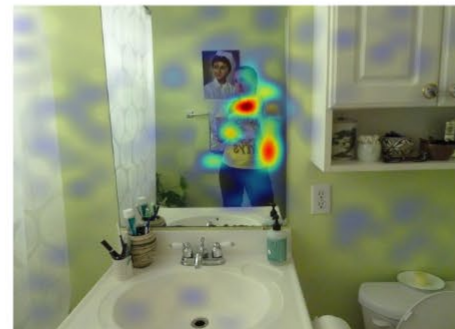
Q: what is the popular name for the type of photo this lady is taking? A: selfie



Generic captions:

1. a smiling teen girl taking a picture in a mirror
2. a person standing in a small bathroom taking a photo

Prediction: self-portrait



Question-guided captions:

1. a woman is taking a selfie and taking a selfie
2. a woman is taking a picture in a mirror and taking a picture

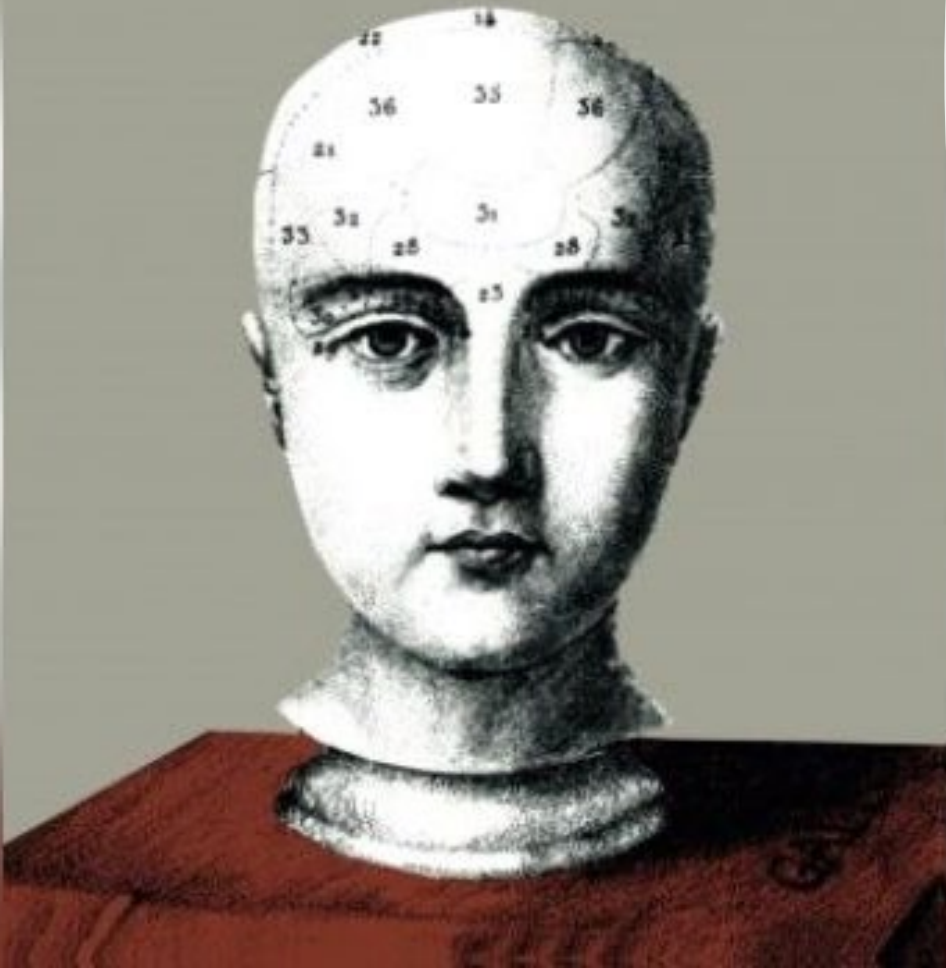
Prediction: selfie

Method	Language			Vision			VQA _{v2}		OK-VQA	GQA
	Model	#Params	VL-aware	Model	#Params	VL-aware	Val	Test-dev	Test	Test-dev
<i>Pretrained models conjoined by end-to-end VL training.</i>										
VL-T5 _{no-vqa}	T5	224M	✓	Faster R-CNN	64M	✗	13.5	-	5.8	6.3
FewVLM _{base}	T5	224M	✓	Faster R-CNN	64M	✗	43.4	-	11.6	27.0
FewVLM _{large}	T5	740M	✓	Faster R-CNN	64M	✗	47.7	-	16.5	29.3
VLKD _{ViT-B/16}	BART	407M	✓	ViT-B/16	87M	✓	38.6	39.7	10.5	-
VLKD _{ViT-L/14}	BART	408M	✓	ViT-L/14	305M	✓	42.6	44.5	13.3	-
Flamingo _{3B}	Chinchilla-like	2.6B	✓	NFNet-F6	629M	✓	-	49.2	41.2	-
Flamingo _{9B}	Chinchilla-like	8.7B	✓	NFNet-F6	629M	✓	-	51.8	<u>44.7</u>	-
Flamingo _{80B}	Chinchilla	80B	✓	NFNet-F6	629M	✓	-	56.3	50.6	-
Frozen	GPT-like	7B	✗	NF-ResNet-50	40M	✓	29.5	-	5.9	-
<i>Pretrained models conjoined by natural language and zero training.</i>										
PICa	GPT-3	175B	✗	VinVL-Caption	259M	✓	-	-	17.7	-
PNP-VQA _{base}	UnifiedQAv2	223M	✗	BLIP-Caption	446M	✓	54.3	55.2	23.0	34.6
PNP-VQA _{large}	UnifiedQAv2	738M	✗	BLIP-Caption	446M	✓	57.5	58.8	27.1	38.4
PNP-VQA _{3B}	UnifiedQAv2	2.9B	✗	BLIP-Caption	446M	✓	<u>62.1</u>	<u>63.5</u>	34.1	42.3
PNP-VQA _{11B}	UnifiedQAv2	11.3B	✗	BLIP-Caption	446M	✓	63.3	64.8	35.9	<u>41.9</u>

Table 2: Comparison with state-of-the-art models on zero-shot VQA. Flamingo (Alayrac et al., 2022) inserts additional parameters into the language model and perform training using billion-scale vision-language data. The best accuracy is bolded and the second best is underlined.

THE MODULARITY OF MIND

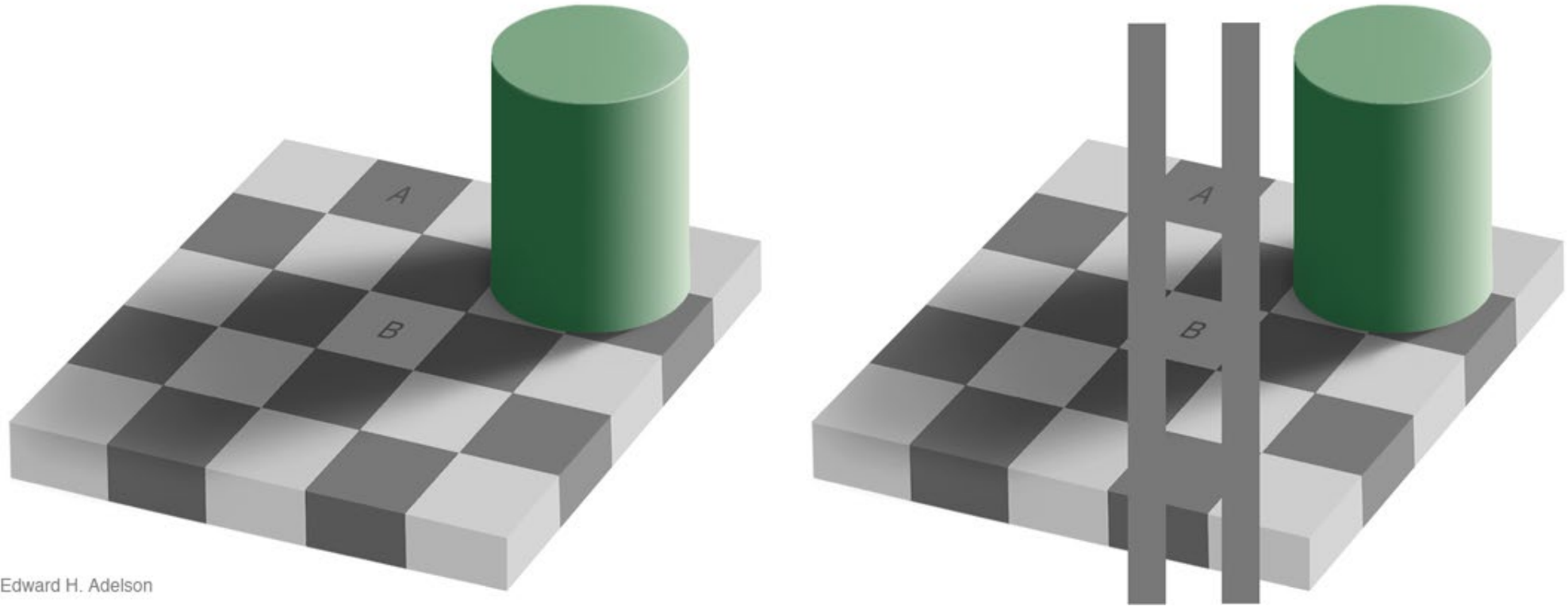
Jerry A. Fodor



Modular System Design?

- Modularity in the human mind.
- End-to-end training is the go-to option for machine learning

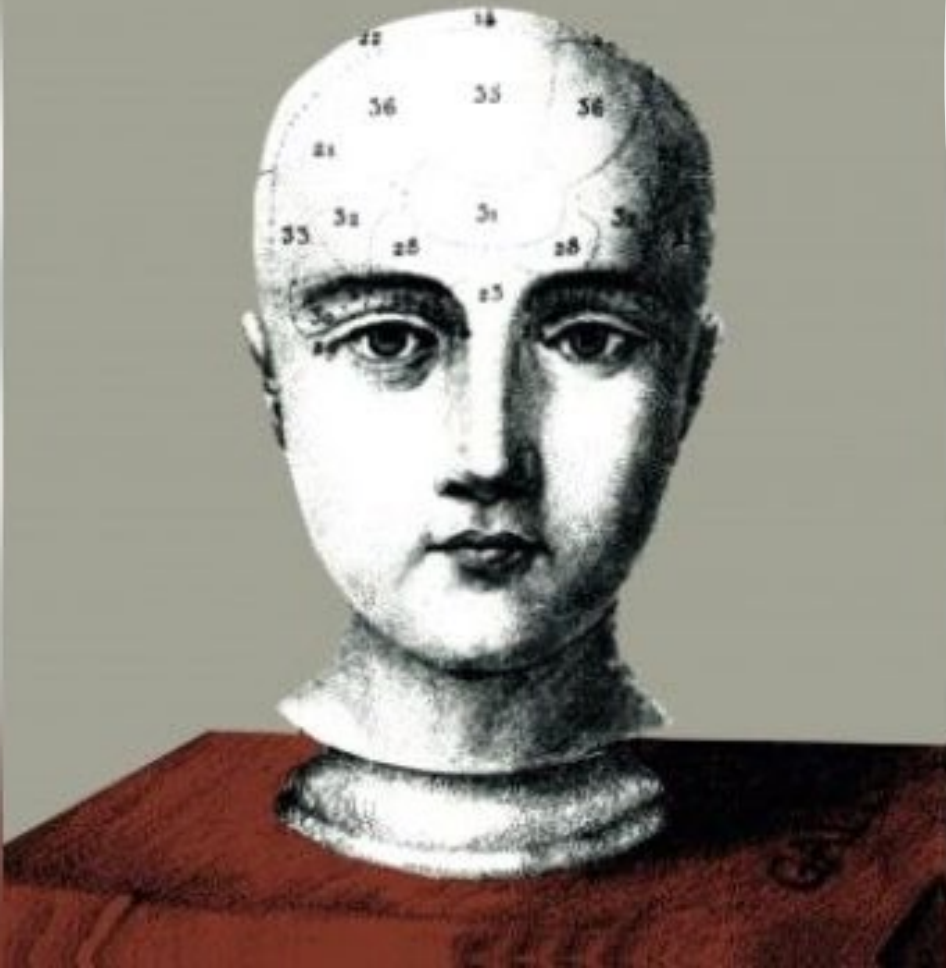
Perceptive Modules are Encapsulated



Edward H. Adelson

THE MODULARITY OF MIND

Jerry A. Fodor



Modular System Design?

- Modularity in the human mind.
- End-to-end training is the go-to option for machine learning
- Maybe modularity only makes sense when the modules scale up.

From QA Models to Generic Models?



- Need to demonstrate the QA task to generic models
- We generate synthetic question / answers from the question-guided captions and include them in the context.

Question: The girl behind the man likely is of what relation to him?
GT Answer: daughter



Captions 1: a man is riding the back of a little girl on a motorcycle

Captions 2: an image of bearded man and a girl on a motorcycle riding on the motorcycle

Captions 3: man and child sitting on a motorcycle on the street

Synthetic Question 1: who is holding on to the bearded man on the back of the motorcycle?

Answer: A girl

Synthetic Question 2: what is the size of the girl riding on the motorcycle?

Answer: little

Question: The girl behind the man likely is of what relation to him?

Predicted Answer: daughter

Synthetic Question-answer Pairs Generation



- We extract answers from the generated captions: nouns, verbs, adjectives, and numbers.
- To generate questions from answers, we finetune a T5-Large network.
- Or, we may use templates based on Parts-of-Speech.

From QA Models to Generic Models?



Models	VQA v2		OK-VQA Test
	Val	Test	
Frozen-7B	29.5		
Flamingo-80B		56.3	50.6
PnP-VQA-11B	63.3	64.8	35.9
Img2Prompt-175B	<u>60.6</u>	<u>61.9</u>	<u>45.6</u>

Table 3. Zero-shot VQA performance with different LLMs.

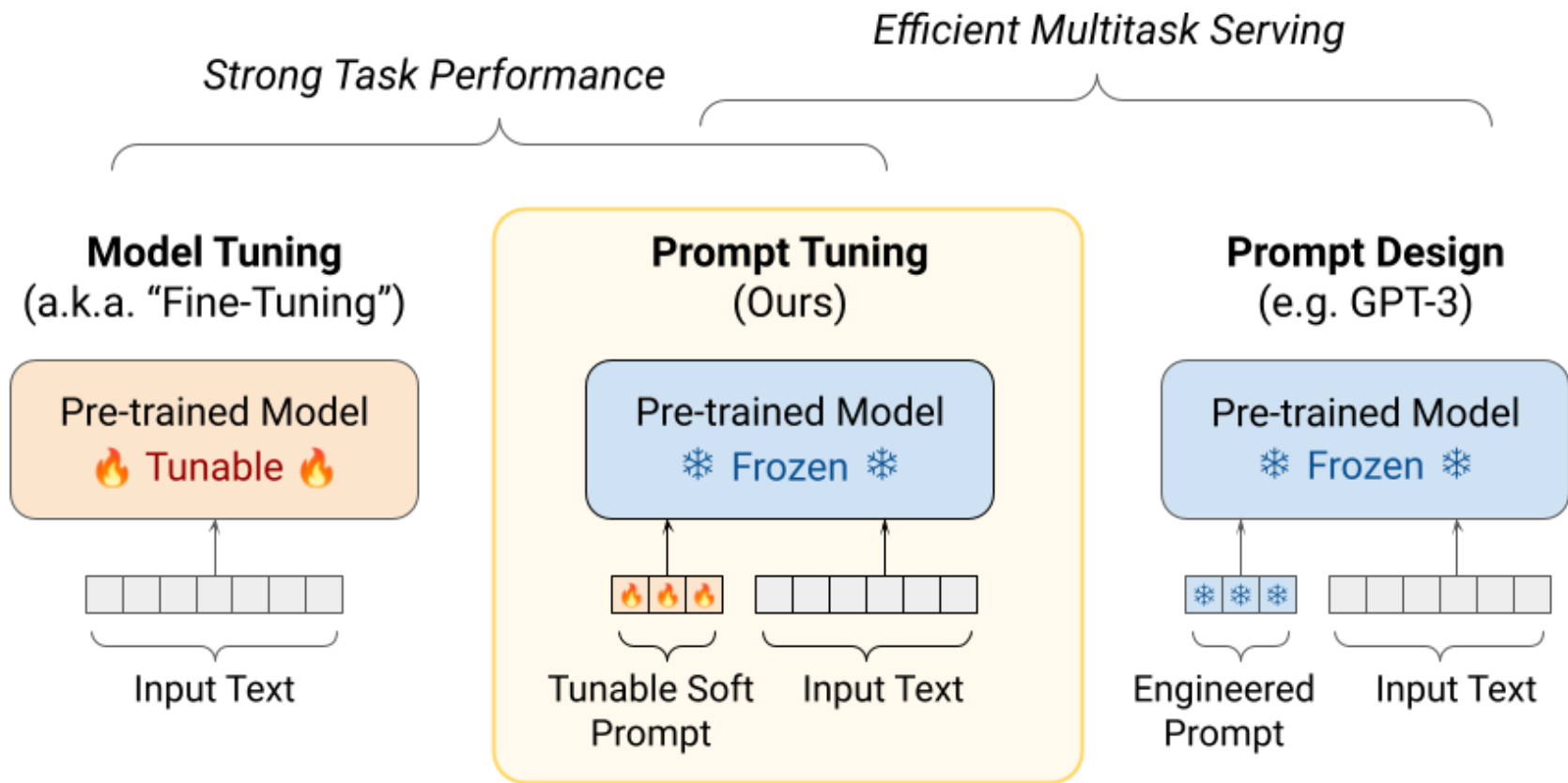
Methods	VQAv2 val	OK-VQA test
PICa GPT-3 175B	-	17.7
Frozen _{7B}	29.5	5.9
Ours GPT-Neo 2.7B	50.1	31.5
Ours BLOOM 7.1B	52.4	32.4
Ours GPT-J 6B	56.4	37.4
Ours OPT 6.7B	57.6	38.2
Ours OPT 175B	60.6	45.6

How to simplify deployment of large models?

Prompt tuning is friendly to deployment.

Prompt Tuning

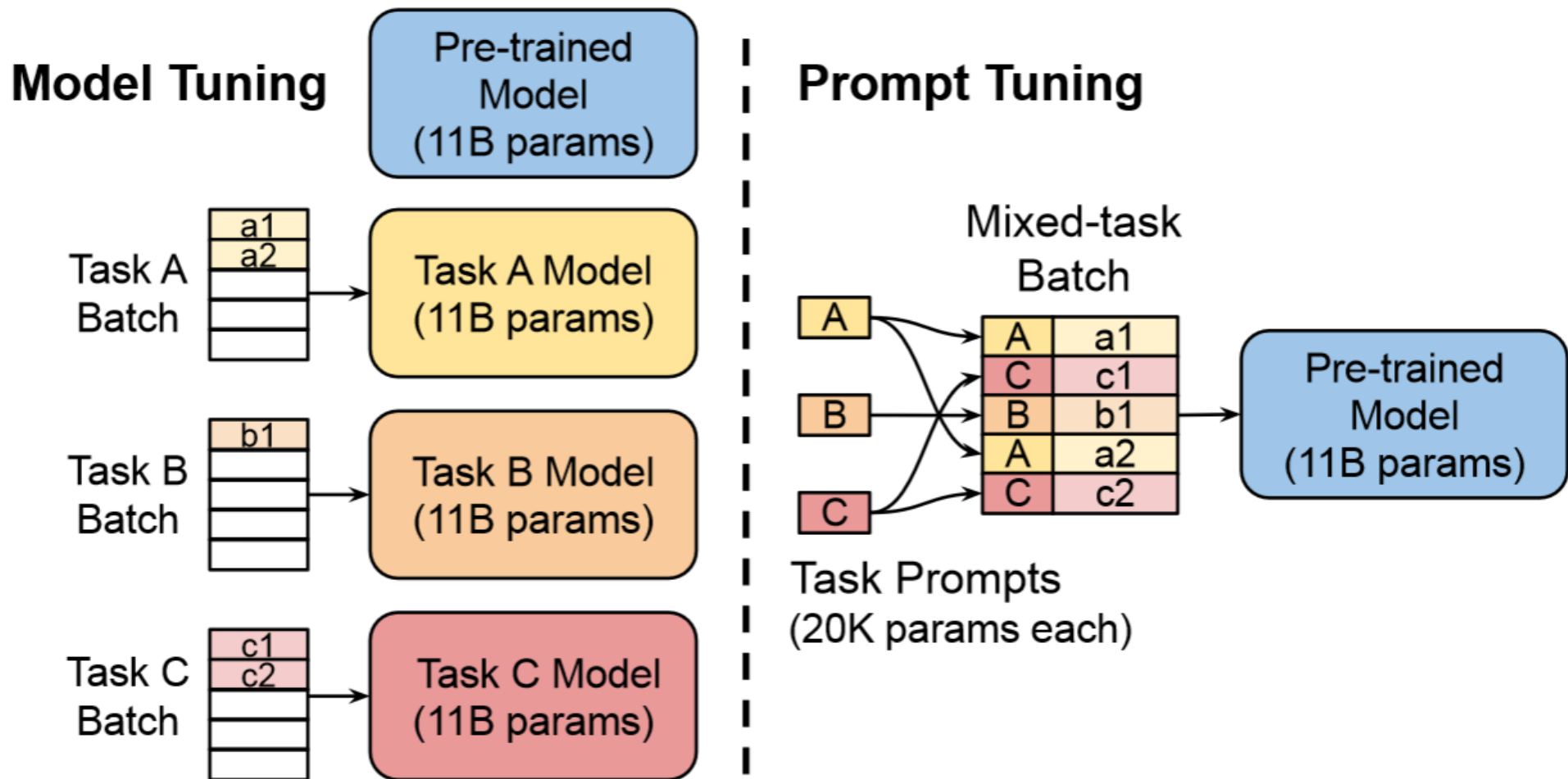
Brian Lester, Rami Al-Rfou, Noah Constant. The Power of Scale for Parameter-Efficient Prompt Tuning. EMNLP 2021



Typically about 100 words, each having about 1024 dimensions.

Prompt Tuning

Brian Lester, Rami Al-Rfou, Noah Constant. The Power of Scale for Parameter-Efficient Prompt Tuning. EMNLP 2021

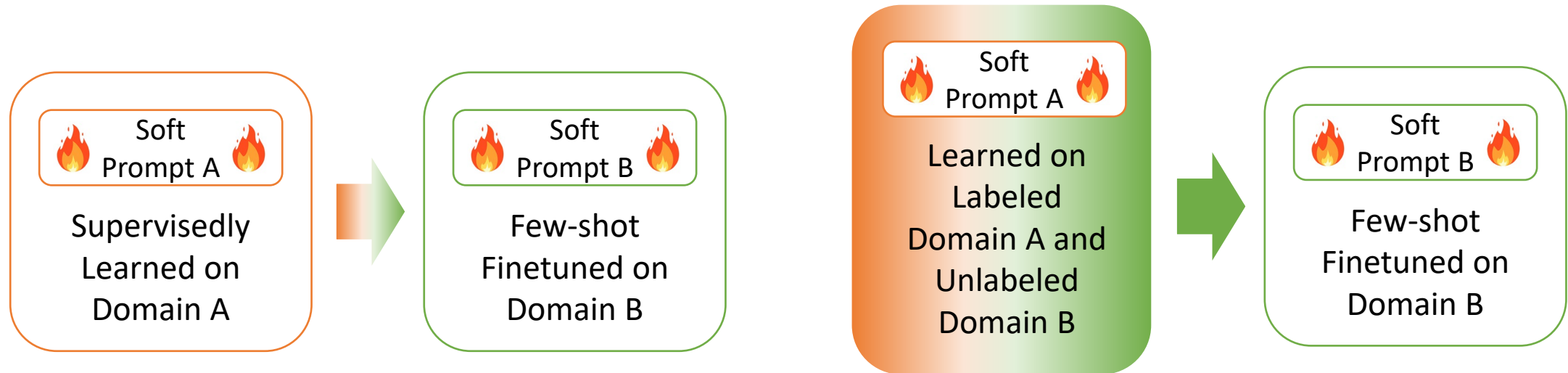


- However, prompt tuning requires a large number of training examples (Su et al., 2021).
- Its performance under few-shot learning is not as good as full-model finetuning.

How can we improve the sample efficiency of prompt tuning?

Xu Guo, Boyang Li, and Han Yu. Improving the Sample Efficiency of Prompt Tuning with Domain Adaptation. EMNLP Findings 2022.

Improving the Sample Efficiency of Prompt Tuning with Domain Adaptation



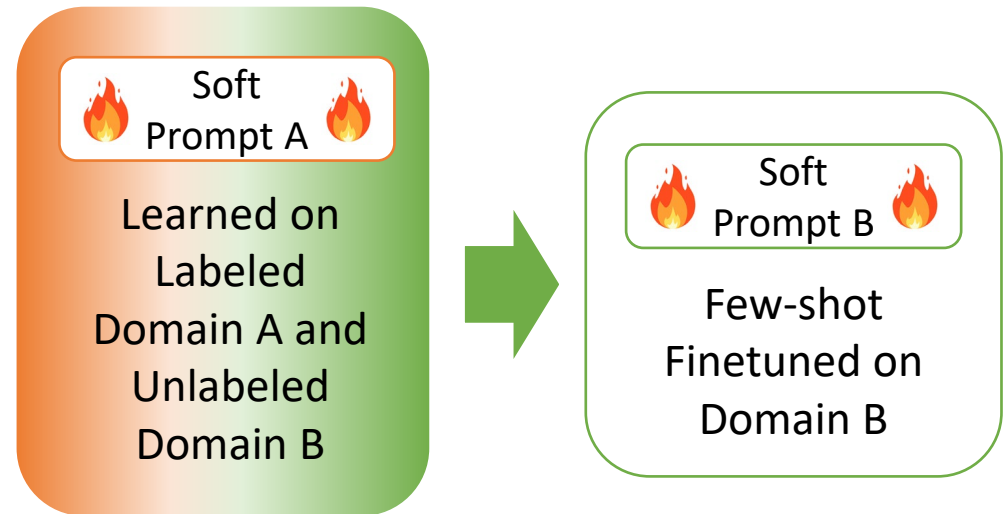
Transfer Learning for Prompts (Gu et al., 2022)

We propose **bOosting Prompt Tuning with doMain Adaptation (OPTIMA)**

OPTIMA: Intuition #1

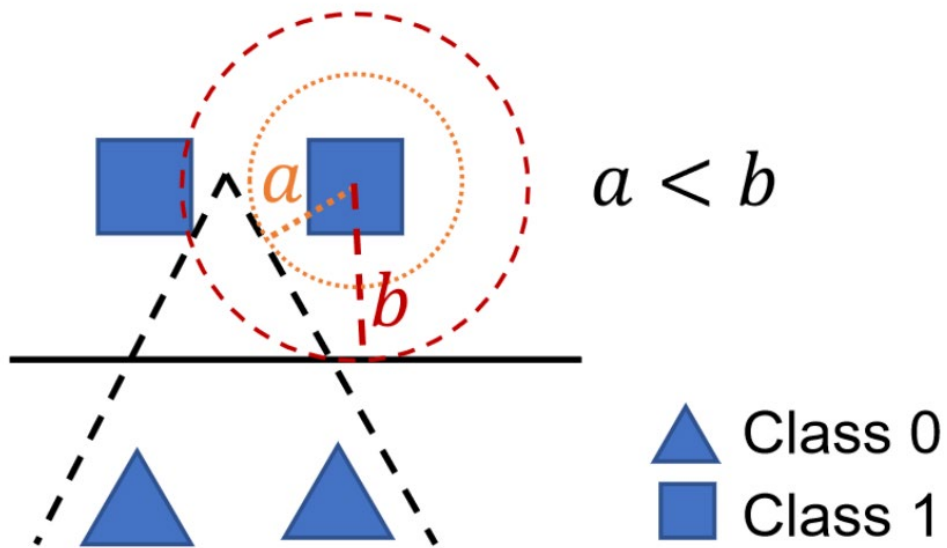


- The target domain has no labels.
- It is easy to overfit the source domain.
- Therefore, we need a smooth decision boundary



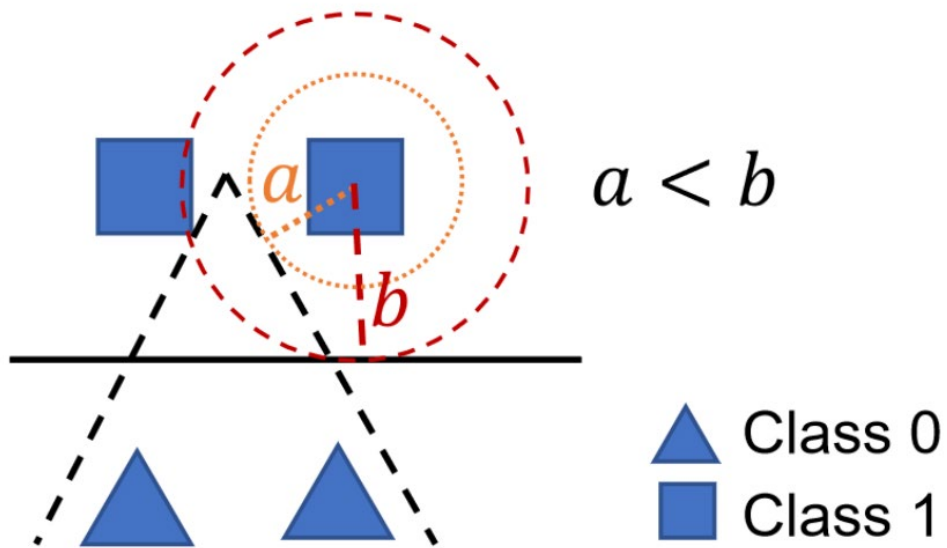
We propose **bOosting Prompt Tuning with doMain Adaptation (OPTIMA)**

Adversarial Training (Madry et al 2018)



- Dotted decision boundary = non-smooth
- Solid decision boundary = smooth

Adversarial Training



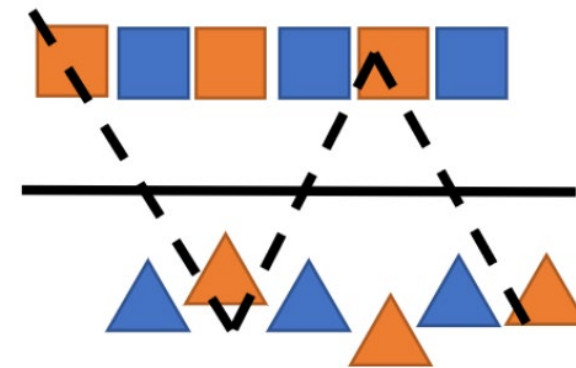
1. Find a small perturbation δ to (\mathbf{x}, y) that causes the network to predict a wrong label.
2. Train the network to predict y on input $\mathbf{x} + \delta$, so the network becomes robust to δ .
3. Result:
 - a smooth decision boundary
 - passing through regions with low data density

OPTIMA: Intuition #2

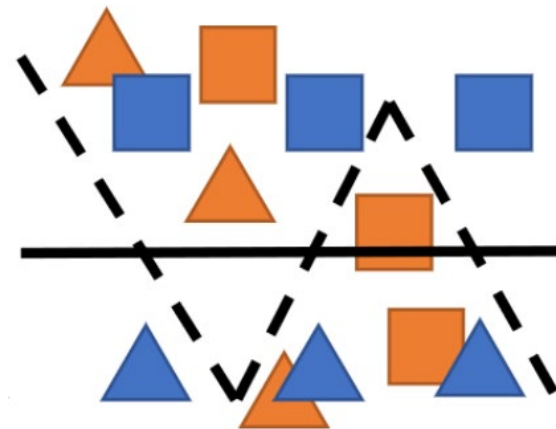


- We only care about the smoothness of the decision boundary where the target and source domains are similar.
- Thus, we learn a perturbation δ that conflates $x_{\text{source}} + \delta$ and x_{target}

■▲ Source-domain Classes
■▲ Target-domain Classes



Smooth $>$ Zigzag



Smooth \approx Zigzag

OPTIMA: Find Perturbation δ



$x_{\text{source}} + \delta$ and x_{target} cannot be distinguished by an adversarial discriminator.

$$\delta^* = \underset{\|\delta\| \leq \epsilon}{\operatorname{argmax}} \log P_{\text{disc}}(y = \text{target} | \mathbf{x}_{\text{source}} + \delta) + KL(f_p(y | \mathbf{x}_{\text{source}} + \delta) || f_p(y | \mathbf{x}_{\text{source}}))$$

The perturbation δ causes maximum change in the model prediction.



OPTIMA: Find Soft Prompt p

- The soft prompt p aims to minimize

$$p^* = \arg \min_p \mathbb{E}_{(\mathbf{x}_s, y_s) \in \mathcal{D}_s} [\ell_{\text{xe}}(\mathbf{x}_s, y_s, p) + \ell_{\text{KL}}(\delta^*, p, \mathbf{x}_s)]$$

Source-domain
cross-entropy
loss

Changes in predictions
caused by the
perturbation δ^* .

\mathbf{x}_s and y_s are labeled data from the source domain \mathcal{D}_s .

Few-shot Results



Method	Params	PLM	Source	QQP		MRPC		MNLI
				Acc.	F1	Acc.	F1	Acc.
Frozen	0		✗	45.5	54.9	33.8	11.8	41.7
PT	102K		✗	48.4 ± 4.9	52.5 ± 5.5	53.1 ± 11.4	55.9 ± 23.4	33.4 ± 1.6
FT	770M	T5-Large	✗	55.1 ± 6.7	52.0 ± 6.0	<u>59.5</u> ± 7.8	<u>67.9</u> ± 12.6	35.6 ± 2.4
PFT	770M		✗	<u>55.1</u> ± 5.1	<u>57.8</u> ± 3.1	58.9 ± 11.0	65.3 ± 11.8	35.6 ± 3.6
PPT	410K	T5-XXL	✓	52.1 ± 11.1	56.2 ± 21.1	52.1 ± 11.1	56.2 ± 21.1	34.4 ± 1.4
				MRPC → QQP		QQP → MRPC		SNLI → MNLI
				Acc.	F1	Acc.	F1	Acc.
SPOT	102K		✓	64.5 ± 2.7	64.5 ± 0.8	68.7 ± 2.5	77.1 ± 2.9	74.3 ± 0.9
FreeLB	102K	T5-Large	✓	65.0 ± 2.4	64.5 ± 1.5	68.5 ± 2.2	77.6 ± 2.2	75.0 ± 1.0
VAT	102K		✓	66.2 ± 2.0	64.9 ± 0.7	69.6 ± 1.9	79.0 ± 2.1	74.9 ± 1.1
DANN	102K		✓	63.4 ± 2.5	62.5 ± 2.7	68.0 ± 3.5	76.2 ± 5.1	73.1 ± 1.4
OPTIMA	102K		✓	69.1* ± 1.7	65.8* ± 1.9	71.2* ± 1.7	79.9* ± 1.7	78.4* ± 0.6

Few-shot Results



Paper

Method	Params	PLM	Source	SNLI Acc.	SICK Acc.		CB Acc.	
Frozen	0		✗	35.9	37.1		55.4	
PT	102K		✗	34.6 ± 2.4	61.5 ± 7.8		38.3 ± 13.6	
FT	770M	T5-Large	✗	<u>41.6</u> ± 3.8	67.6 ± 6.3		51.2 ± 7.8	
PFT	770M		✗	38.6 ± 5.1	<u>71.3</u> ± 6.4		<u>57.3</u> ± 9.2	
PPT	410K	T5-XXL	✓	34.7 ± 2.8	54.6 ± 14.0		43.0 ± 14.6	
				MNLI → SNLI Acc.	SNLI → SICK Acc.	MNLI → SICK Acc.	SNLI → CB Acc.	MNLI → CB Acc.
SPOT	102K		✓	78.8 ± 1.1	69.9 ± 5.3	72.9 ± 5.9	61.7 ± 5.0	65.3 ± 3.4
FreeLB	102K	T5-Large	✓	81.5 ± 0.7	69.5 ± 6.8	73.1 ± 4.8	61.6 ± 4.2	66.1 ± 3.3
VAT	102K		✓	80.9 ± 0.9	68.6 ± 6.4	72.7 ± 6.3	59.0 ± 5.5	68.7 ± 4.8
DANN	102K		✓	71.1 ± 3.2	69.0 ± 6.7	73.4 ± 3.7	55.7 ± 5.5	66.9 ± 4.6
OPTIMA	102K		✓	82.1* ± 0.8	73.3 ± 6.8	74.8 ± 4.4	64.8* ± 1.1	71.2* ± 3.1

Source-domain & Zero-shot Results



Method	MRPC	MRPC \rightarrow QQP		QQP	QQP \rightarrow MRPC		MNLI \rightarrow CB
	Acc.	Acc.	F1	Acc.	Acc.	F1	Acc.
SPOT	82.5 \pm 1.5	60.9 \pm 4.6	63.6 \pm 2.0	80.9 \pm 2.2	65.7 \pm 3.4	73.2 \pm 5.7	63.2 \pm 5.7
FreeLB	85.5 \pm 0.3	63.1 \pm 3.7	63.9 \pm 1.0	82.2 \pm 2.7	69.4 \pm 1.1	78.7 \pm 1.3	67.8 \pm 3.9
VAT	84.7 \pm 0.8	64.8 \pm 4.6	64.1 \pm 1.7	81.9 \pm 0.7	68.9 \pm 1.5	78.5 \pm 1.5	67.8 \pm 5.8
DANN	81.5 \pm 2.1	63.9 \pm 1.8	57.6 \pm 3.3	81.4 \pm 0.7	63.6 \pm 4.8	71.5 \pm 9.7	59.8 \pm 4.4
OPTIMA	85.7 \pm 0.7	68.9 \pm 0.8	66.3 \pm 0.6	82.7 \pm 1.3	71.2 \pm 0.4	80.0 \pm 0.6	68.3 \pm 2.6
Method	MNLI	MNLI \rightarrow SNLI	MNLI \rightarrow SICK	SNLI	SNLI \rightarrow MNLI	SNLI \rightarrow SICK	SNLI \rightarrow CB
	Acc.	Acc.	Acc.	Acc.	Acc.	Acc.	Acc.
SPOT	83.4 \pm 0.8	79.2 \pm 1.0	51.8 \pm 0.7	88.9 \pm 0.1	75.6 \pm 0.4	52.7 \pm 1.9	47.6 \pm 3.7
FreeLB	84.8 \pm 0.8	81.8 \pm 0.7	52.2 \pm 0.2	89.9 \pm 0.1	77.5 \pm 0.5	52.9 \pm 1.9	47.5 \pm 4.7
VAT	83.7 \pm 0.3	81.0 \pm 0.2	51.4 \pm 1.4	88.7 \pm 0.1	77.1 \pm 1.3	51.8 \pm 2.1	45.8 \pm 0.8
DANN	80.4 \pm 2.7	72.4 \pm 5.9	61.9 \pm 2.7	85.3 \pm 3.2	70.3 \pm 3.6	51.5 \pm 1.2	42.3 \pm 2.2
OPTIMA	84.6 \pm 0.3	82.1 \pm 0.8	55.2 \pm 1.0	89.2 \pm 0.1	79.1 \pm 0.1	53.8 \pm 0.5	49.4 \pm 4.2

Problems Yet Unsolved?

A new dataset on movie summary understanding.

New Dataset: Synopses of Movie Narratives

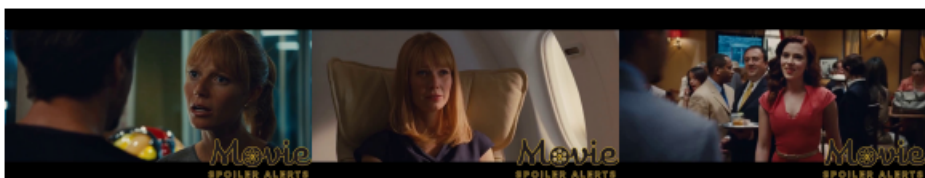


0'44.86 → 0'50.02



The arc reactor however is slowly poisoning him which is causing him to begin to fear death.

0'50.03 → 0'54.02



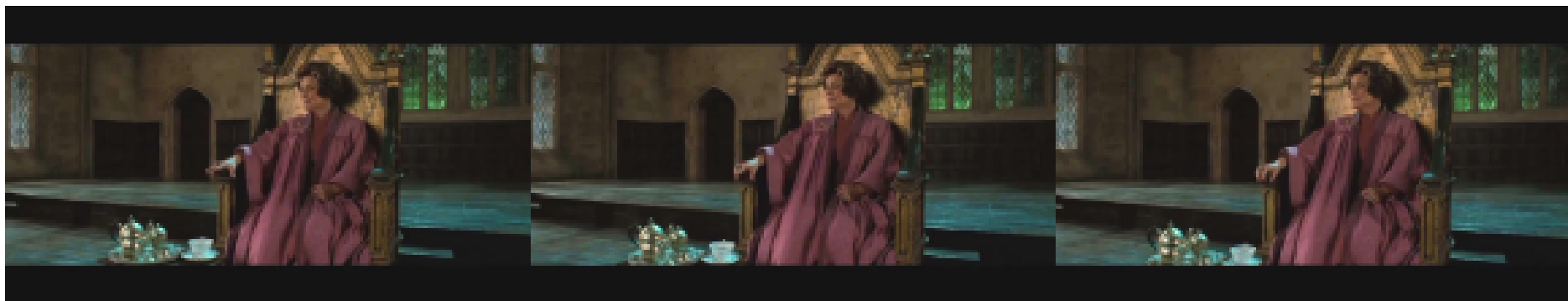
Stark makes Pepper Potts the CEO of Stark Industries and hires Natalie Rushman as his new personal assistant.

- “Watch a movie in 5 minutes” videos
- 869 hours, 683,611 sentences
- Events at the right granularity
- Mental state descriptions
- Semantic gaps between modalities due to storytelling techniques.

Storytelling Techniques: Symbolism



2'06.22  2'08.36



Umbridge becomes the new headmistress

Fig. 4 An example from *Harry Potter and the Order of the Phoenix*. A symbolic object, the chair, is used to represent the event Dolores Umbridge becoming headmistress.

Storytelling Techniques: Omission of An Obvious Cause or Effect



2'26.21 —————> 2'32.10



Clarisse is able to kill gum and save Katherine

Fig. 3 This example shows three frames from *Silence of the Lambs*. The text (kill) describes the effect of the video (shooting).

Storytelling Techniques: Long-range Dependency



Paper

1'34.23

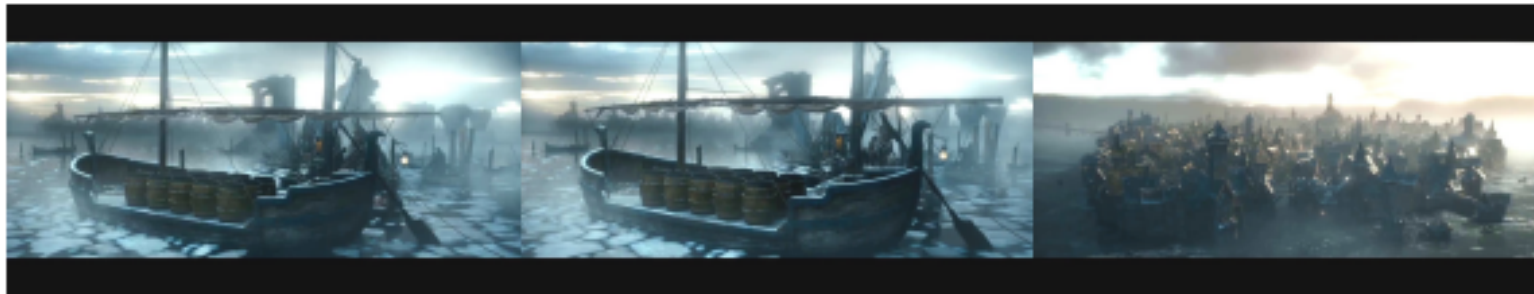
1'39.43



bilbo, having avoided capture, arranges an escape using empty wine barrels that are sent downstream.

2'14.39

2'18.07



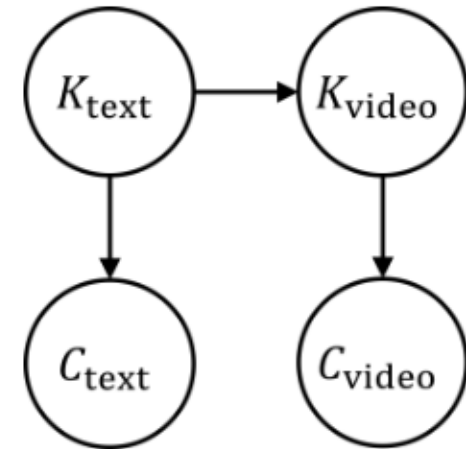
the company is smuggled into asgaroth by a bargeman called bard.

The Cross-modality Semantic Gap: Quantitative Estimates



Dataset	Estimated Semantic Gap
SyMoN	31.4%
CMD	69.9%
LSMDC	22.9%

Principled Bayesian
analysis



Video-Text Retrieval / Sequence Alignment

- Requires understanding of storytelling techniques.
- Relatively objective measurements

	Clip Acc.	Sent. IoU
<i>Original Split (sub-sentence level)</i>		
UniVL	3.3	1.0
VideoCLIP	4.8	0.6
NeuMATCH-MD (Supervised)	4.0	2.4
UniVL-SYMoN	5.9 ± 0.3	2.7 ± 0.2
UniVL-SYMoN-memory	6.5 ± 0.3	2.6 ± 0.2
<i>New Split (sub-sentence level)</i>		
UniVL	7.4	1.0
VideoCLIP	7.6	0.7
UniVL-SYMoN	10.1 ± 0.4	1.9 ± 0.1
UniVL-SYMoN-memory	13.5 ± 0.3	2.6 ± 0.1
<i>Original Split (sentence level)</i>		
UniVL	4.6	0.8
VideoCLIP	4.0	1.1
UniVL-SYMoN	7.4 ± 0.1	3.4 ± 0.2
UniVL-SYMoN-memory	7.5 ± 0.4	2.1 ± 0.2
<i>New Split (sentence level)</i>		
UniVL	5.7	1.3
VideoCLIP	4.9	1.0
UniVL-SYMoN	7.7 ± 0.2	3.3 ± 0.2
UniVL-SYMoN-memory	8.7 ± 0.3	3.2 ± 0.2

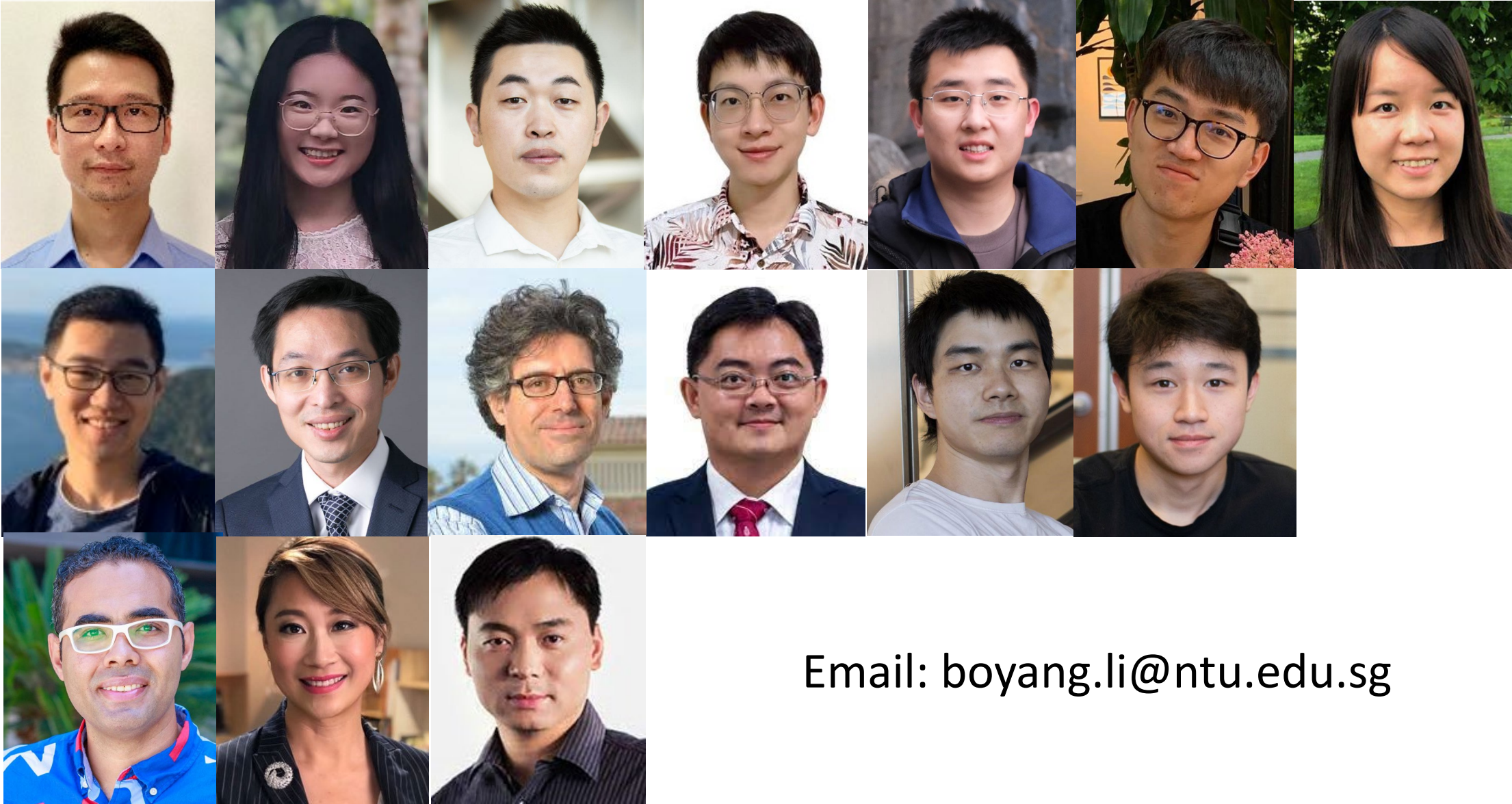


Paper

Conclusions

- Large Pretrained Language Models are transforming AI
- We design systems that
 - Exploit new capabilities (language-based reasoning)
 - Solve new challenges (few-shot prompt tuning)
- We propose a new dataset that poses greater challenges to these models

Collaborators



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